

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Limor Schweitzer et al.

Application No.: 09/935,131

Group No.: 2144

Filed: August 21, 2001

Examiner: Thompson, Marc D.

For: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR POLICY-BASED

BILLING IN A NETWORK ARCHITECTURE

09935131

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FOR INFORMATION DISCLOSURE UNDER 37 C.F.R. § 1.97(e)

# IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT FOR WHICH THIS STATEMENT IS BEING MADE

1. This statement is being made for the Information Disclosure Statement accompanying this statement.

11/30/2004 FFANAEIA 00000106 501351

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180.00 DA

# CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

According with the United States Bastel Samiles in an armal	MAILING ope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.	ppe addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA
37 C.F.R. § 1.8(a) with sufficient postage as first class mail.	37 C.F.R. § 1.10* _ as "Express Mail Post Office to Addressee"
	Mailing Label No (mandatory) TRANSMISSION
_ facsimile transmitted to the Patent and Trademark Office, (	
11/00/	Slepature
Date: 11/22/04	Erica L. Farlow
	(type or print name of person certifying)

<sup>\*</sup> Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

#### **STATEMENT**

2. I, the person signing below, state that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; AND/OR that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1)-(2).

## IDENTIFICATION OF PERSON MAKING THIS STATEMENT

3. The person making this certification is:

Reg. No.: 41,429

Tel. No.: 408-971-2573 Customer No.: 28875

(a) the practitioner who signs below on the basis of the information in the practitioner's file.

Signature of Practitioner

Kevin J. Zilka

Zilka-Kotab, PC P.O. Box 721120

San Jose, CA 95172-1120

**USA** 



AF/2144 DFV

**PATENT** 

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER MAILING DATE OF FINAL ACTION, NOTICE OF ALLOWANCE OR ACTION THAT CLOSES PROSECUTION BUT BEFORE PAYMENT OF ISSUE FEE (37 C.F.R. § 1.97(d))

# CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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deposited with the United States Postal Service in an envelope address 22313-1450.	sed to the Commissioner for Patents, P.O. Box 1450, Ale	exandria, VA
37 C.F.R. § 1.8(a) with sufficient postage as first class mail.	37 C.F.R. § 1.10*	
with sufficient postage as first class mail.	_ as "Express Mail Post Office to Addressee"  Mailing Label No	(mandatory)
TRANS facsimile transmitted to the Patent and Trademark Office, (703)	MISSION Pluce A -	Jaka)
11	Signature	
Date: 11/22/04	Erica L. Farlow	
	(type or print name of person certifying	18)

<sup>\*</sup> Only the date of filing ('1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under '1.8 continues to be taken into account in determining timeliness. See '1.703(f). Consider "Express Mail Post Office to Addressee" ('1.10) or facsimile transmission ('1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

# TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.

#### STATEMENT AND FEE

- 2. In accordance with the requirements of 37 C.F.R. § 1.97(d):
  - A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. § 1.97(e).
  - B. Applicant submits the fee set forth in § 1.17(p) (\$180.00).

## **FEE DUE**

3. Fee due (§ 1.17(p)): \$180.00

#### METHOD OF PAYMENT OF FEE

4. Authorization is hereby made to charge the amount of \$180.00 to Deposit Account No. 50-1351 (Order No. XACTP015B).

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Reg. No.: 41,429

Tel. No.: 408-971-2573

Customer No.: 28875

Signature of Practitioner

Kevin J. Žilka// Zilka-Kotab/PC

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San Jose, CA 95172-1120

USA

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Formed 49 (Modified)	Atty. Docket No.	Application No.:
1,1	XACTP015B	09/935,131
Information Disclosure	Applicant:	
Statement By Applicant	Schweitzer et al.	
	Filing Date:	Group Art Unit:
(Use Several Sheets if Necessary)	08/21/2001	2144

# **U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date
	A						
	В					T	
	C						
	Е						
	F						

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	slation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	G							
	Н							
	I					,		
	N							
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# **Other Documents**

No. 00944892.9-1244  Q Frank, Matthias et al., "Practical Experiences with a Transport Layer Extension for End-to-End Bandwidth Regulation", © 1997 IEEE, pages 33 346  R Office Action mailed 11/03/2004, in US Patent Application No. 09/552,813				
P Office Action mailed 08/23/2004, from corresponding European Application No. 00944892.9-1244  Q Frank, Matthias et al., "Practical Experiences with a Transport Layer Extension for End-to-End Bandwidth Regulation", © 1997 IEEE, pages 33 346  R Office Action mailed 11/03/2004, in US Patent Application No. 09/552,818	Examiner			
No. 00944892.9-1244  Q Frank, Matthias et al., "Practical Experiences with a Transport Layer Extension for End-to-End Bandwidth Regulation", © 1997 IEEE, pages 33 346  R Office Action mailed 11/03/2004, in US Patent Application No. 09/552,813	Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication	
Extension for End-to-End Bandwidth Regulation", © 1997 IEEE, pages 33 346  R Office Action mailed 11/03/2004, in US Patent Application No. 09/552,813		P	Office Action mailed 08/23/2004, from corresponding European Application No. 00944892.9-1244	
	,	Q	Extension for End-to-End Bandwidth Regulation", © 1997 IEEE, pages 337-	
		R	Office Action mailed 11/03/2004, in US Patent Application No. 09/552,818, filed 04/20/2000	
Examiner Date Considered	Examiner	i	Date Considered	

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.